

2. Investment fund details

Name your Investment Goal _____

(example Peter's University fund)

Please select the fund(s) you would like to invest in and indicate the amount you would like to invest.

If you are unsure about which funds suit your needs, please consult your broker or Sanlam financial adviser.

To view the full list of funds with applicable fund minimums and fees, refer to [Minimum Disclosure Documents \(MDD's\)](#)

Fund Range	* Class	Lump sum deposit <i>Please provide an estimate if amount is still to be confirmed</i> (R)	Lump sum collection (R)	Monthly recurring debit order	Income distribution (please tick selection)	
					Re-invest	Pay-out
Denker SCI Balanced Fund						
Denker SCI Equity Fund						
Denker SCI Global Equity Feeder Fund						
Denker SCI Global Financial Feeder Fund						
Denker SCI SA Equity Fund						
Denker SCI Stable Fund						

* If you do not specify a fund class, your investment will be allocated to a default class

3. Source of funds for this investment

Please specify where the funds for this investment come from.

Salary Inheritance Savings Bonus Other (Specify) _____

Do these funds originate from a Sanlam policy? Yes No

If "Yes", policy number _____
(Section 4 is not applicable)

4. Payment instructions

You have the following options for payment:

4.1 We collect funds via debit order

Lump sum collection

- We will debit your bank account within 3 business days if all your documentation is in order.
- Amounts are restricted to a maximum of R1 million per debit. Use the EFT payment option in section 5.2 for amounts exceeding R1 million.

and/or

Monthly debit order on the _____ (dd) day of each month starting _____ (mmccyy)
(This date should be between the 1st and the 28th).

Annual increase _____ %

Annual increase date _____ (mmccyy)

Payment selection

Payment is from my own bank account
(Complete Section 6)

OR

Payment is from a third-party bank account
(Complete Form B).

For use when opening an investment for a Minor, or if the debit order is being paid by a third party.

OR

4.2 You pay via an Electronic Fund Transfer (EFT)

Lump sum deposit

- Once your Unit trust account has been opened, you will receive notification on how to make payment into your account.

5. Investor banking details

The banking details specified will be used for

- Disinvesting
- Income distribution payments
- Debit orders

Payments will only be made into the account of the registered investor. Payments cannot be made to third parties.

Bank account holder _____

Identity number _____

Name of bank _____

Account number _____

Name of branch _____

Branch code _____

Type of account: Current Savings

I instruct and authorise Sanlam or its agents to draw direct debits against my bank account as per this instruction, and section 2 and 4.1

Signature of bank account holder _____ Date _____ (ddmmccyy)

6. Investor interaction preference

I would like to receive SMS notifications when I transact on my account Yes No
 I want to receive marketing information Yes No

Ways to manage and track your investment

We will send you all your investment correspondence to the email which you provided.

Your statements and tax certificates will be available on the Sanlam Secure Services should you need to have a printed copy.

Once you have your investor number you can register to transact on Sanlam Secure Services.

In line with Sanlam's responsibility towards the environment, we will no longer send postal statements.

If post is your only means of receiving correspondence, please contact our Client Service Centre.

7. Investor tax status

We require this information to report to the South African Revenue Services (SARS) for Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) as per the Automatic Exchange of Information (AEOI) for International tax compliance.

Is South Africa your primary country of tax residence Yes No

Are you registered to pay tax in South Africa Yes No

If yes, please provide your South African Tax Identification Number (or reason why one has not been issued)

Are you registered for tax in any other country? Yes No

If "Yes", please provide your Tax Identification Number for each country (or reason why none has been issued).

Country of tax residence	Tax Identification Number	OR	Reason Tax Number Not Applicable

Withholding tax status

Some beneficial owners of dividends are entitled to an exemption (local and/or foreign persons) or a reduced rate (foreign persons) provided the required declaration and undertaking are submitted to the company or withholding agent

I qualify for a Dividends tax exemption, Dividend's tax reduced rate or Withholding tax on interest in terms of the Income Tax Act. Yes No

If "Yes", please complete a *Dividends tax exemption DTD(EX)*, *Dividend's tax reduced rate DTD(RR)* or *Withholding tax on interest declaration (WTI)* Form, available on www.sanlaminvestments.com

8. Investor declaration

By signing this application form I agree that I have read and understand the application form and related terms and conditions.

Signature of investor _____ Date _____ (ddmmccyy)

Authorised signatory* _____ Date _____ (ddmmccyy)

Authorised signatory* _____ Date _____ (ddmmccyy)

*Authorised signatories acting on behalf of the investor (e.g. parents / guardians of a minor and persons authorised to act on behalf of the investor).

Sanlam financial adviser / broker declaration

Sanlam financial adviser:

Financial advice

It is the adviser's responsibility to complete the advice documents for this transaction and forward them, with this application form, to Sanlam.

FICA declaration

I confirm that I have identified the investor of this application, as well as the person acting on their behalf (if applicable). I have verified their identity in line with the requirements of the Financial Intelligence Centre Act, 38 of 2001 ("FICA"), and any legislation, regulations or guidelines related to it.

Copies of these documents are attached.

Does this application replace the whole or part of an existing product? Yes No

If "Yes", please provide a completed replacement advice record with the FAIS documents.

Signature of Sanlam financial adviser

Broker:

FSP license

I declare that I am a licensed financial services provider or a representative of a financial service provider. I am authorised to sell unit trusts.

FSP license number: _____

FICA declaration

I confirm that I have identified the investor of this application, as well as the person acting on their behalf (if applicable). I have verified their identity in line with the requirements of the Financial Intelligence Centre Act, 38 of 2001 ("FICA"), and any legislation, regulations or guidelines related to it.

Copies of these documents are attached.

Signature of broker

Form C: Authorisation to act on behalf of an Investor

Important information

- This form must be completed by **all** parties acting on behalf of the investor as stated in the [Regulatory Supporting Information](#)
- Each person is required to complete the sections below. If more than one page is required, copies of this section can be made and must accompany the fully completed application form.
- Documents must be provided as stated in the [Regulatory Supporting Information](#)

Investor first name and surname _____

Personal details

Title _____ First name(s) _____

Surname _____

Permanent residential address _____

Country _____ Postal code _____

Date of birth _____ (ddmmccyy) Country of birth _____

Identity number _____

OR Passport (if foreign national): _____ **OR** Social security number _____

Number _____

Expiry date _____
 (ddmmccyy)

Country _____

Email address _____

Cell / Mobile _____

Relationship (e.g., parent, guardian) _____

Primary country of tax residence _____

Tax Identification Number _____

Are you a registered taxpayer of any country other than your primary country of residence? Yes No

If "Yes" please complete the information below for each country of tax residency.

Country of tax residence	Tax Identification Number	OR	Reason Tax Number Not Applicable

Declaration and signature

I certify that the information I have provided above is true and correct.

*Authorised signatory _____ Date _____ (ddmmccyy)

*Authorised signatory _____ Date _____ (ddmmccyy)

*Only authorised signatories acting on behalf of the investor must sign (e.g. parent, guardian, etc.)